

MAT-8876US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: To Be Assigned
Applicant: Ryouichi TAKAYAMA, et al.
Filed: Herewith
Title: SURFACE ACOUSTIC WAVE DEVICE AND
METHOD OF MANUFACTURING THE SAME
TC/A.U.: To Be Assigned
Examiner: To Be Assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and PTO/SB/08b submitted herewith. A copy of the references listed on the PTO/SB/08a is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 1) 2004-343359
- 2) 2003-204244
- 3) 2002-135077
- 4) 2000-183676
- 5) 2004-297693

References 1) - 5) include an English language abstract. Reference 5) also includes a "concise explanation" under 37 CFR § 1.98(a)(3) as set forth in the specification at page 2, line 16.

References 1) - 4) were cited by a foreign patent office in a counterpart foreign application. A copy of this Japanese language International Search Report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609(a)iii, second paragraph.

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,


Lawrence E. Ashery, Reg. No. 34,515
Attorney for Applicants

LEA/dmw

Enclosures:
PTO/SB/08a & b
(5) References

Dated: August 24, 2006

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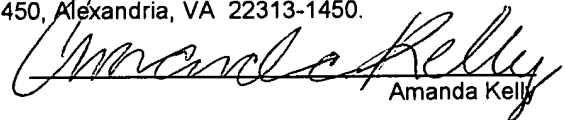
The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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Amanda Kelly

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IAP9 Rec'd PCT/PTO 24 AUG 2006

PTO/SB/08a (07-05) (TMB 07/2005)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known

| | |
|----------------------|---------------------------|
| Application Number | To Be Assigned |
| Filing Date | Herewith |
| First Named Inventor | Ryouichi TAKAYAMA, et al. |
| Art Unit | To Be Assigned |
| Examiner Name | To Be Assigned |
| Attorney Docket No. | MAT-8876US |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date (MM-DD-YYYY) | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|--------------------------|--|----------------------------------|--|---|
| | | Number - Kind Code ² (if known) | | | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date (MM-DD-YYYY) | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|-----------------------|--------------------------|---|----------------------------------|--|--|--------------------------|
| | | Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | | | | |
| | | JP 2004-343359 A (with English abstract) | 12/02/2004 | FUJITSU MEDIA DEVICE KK, FUJITSU LTD, NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL & TECHNOLOGY | | <input type="checkbox"/> |
| | | JP 2003-204244 A (with English abstract) | 07/18/2003 | ALPS ELECTRIC CO LTD | | <input type="checkbox"/> |
| | | JP 2002-135077 A (with English abstract) | 05/10/2002 | MURATA MFG CO LTD | | <input type="checkbox"/> |
| | | JP 2000-183676 A (with English abstract) | 06/30/2000 | JAPAN RADIO CO LTD | | <input type="checkbox"/> |
| | | JP 2004-297693 A (with English abstract) | 10/21/2004 | FUJITSU MEDIA DEVICE KK, FUJITSU LTD | | <input type="checkbox"/> |
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| Examiner Signature | | Date Considered | |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**
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SHEET 2 of 2

Complete if Known

| | |
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| Application Number | To Be Assigned |
| Filing Date | Herewith |
| First Named Inventor | Ryouichi TAKAYAMA, et al. |
| Art Unit | To Be Assigned |
| Examiner Name | To Be Assigned |
| Attorney Docket No. | MAT-8876US |

NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² |
|-----------------------|--------------------------|--|--------------------------|
| | | Japanese language International Search Report for PCT/JP2006/303023, dated May 23, 2006. | <input type="checkbox"/> |
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| Examiner Signature | | Date Considered | |
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